# Non-Strange Baryon Resonances in the Isgur-Karl Model Including the N=3 Oscillator Shell

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The nonrelativistic constituent quark model for excited baryon states in the version proposed by Isgur and Karl is extended toward higher energies by including all states containing up to three oscillator excitation quanta. At high energies baryon resonances with spins up to 9/2 become accessible. At all energies and in particular for lower spins strong configuration mixing is obtained. Model predictions are compared to the empirical resonance energies derived in the Karlsruhe-Helsinki pion nucleon phase shift analysis. A search is made for parameter sets that apply simultaneously to the positive parity and the negative parity states. Numerical results are presented and discussed in relation to experimental data and to other theoretical works.

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#### 1. Introduction

... The nonrelativistic constituent quark model as formulated by Isgur and Karl [1] has been highly successful, in a semi-quantitative way, in describing excitation energies, spins and parities of observed baryon ground states and resonances 1. The model is based on a harmonic oscillator confining potential with anharmonic corrections and a hyperfine interaction inspired by the 1-gluon exchange graph of QCD. Spin-orbit forces are assumed to be negligible [6]. The wave functions are, contrary to bag models, fully translation invariant and can be roughly classified according to an oscillator shell model. Due to hyperfine and anharmonic perturbing interactions, the eigenstates of the hamiltonian are not pure shell model states, but exhibit configuration mixing between the N=0 and N=2 oscillator shells in the case of positive parity states, and between the N=1 and N=3 oscillator shells in the case of negative parity states. For positive parity states configuration mixing has been shown to lead to interesting physical consequences explaining the electric charge form factor of the neutron [7] and

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<sup>1</sup> A comprehensive survey of the constituent quark model and baryon resonances has been given by Hey and Kelly [2]. For a review of general aspects of the non-relativistic 3-body problem with special emphasis on the physics of hadrons see Richard [3]. The theoretical foundations of the non-relativistic constituent quark model are discussed by Lucha, Schöberl, and Gromes [4]. For an introduction to and a recent status report on the Isgur-Karl model see Capstick [5].

the violations of certain SU (6) selection rules [8]. For negative parity states only very few authors have attempted to go beyond the N=1 shell, because of the greatly increased computational effort that is required, when the N=3 shell is also included.

This paper reports work in which the additional complexity arising from the inclusion of the N=3shell was fully taken into account. Previous studies by Bowler et al. [9] have analyzed by group theoretical methods the splitting of the pure N = 3 oscillator level under the influence of anharmonic perturbations, however without considering the additional splitting due to hyperfine interactions. Their work has been continued by Corvi [10] and by Forsyth and Cutkosky [11], who have analyzed certain subsets of the N=3energy levels with inclusion of the hyperfine interaction. The last named authors [12] have also performed a full analysis of the N=3 oscillator shell similar to the work reported in this paper, but they have used, as will be discussed in more detail below, somewhat different assumptions on their model, and they have based their numerical calculations on the Carnegie-Mellon-LBL (CMU-LBL) [13] pion-nucleon phase shift analysis as opposed to the Karlsruhe-Helsinki (KH) [14, 15] analysis, which was used in our work.

## 2. The Baryon Model of Isgur and Karl

The aim of our calculations has been (i) to derive the predictions of the Isgur-Karl model in its "orthodox" form with the N=3 oscillator shell included, and (ii)

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to use these results as a reference point from which various modifications of the model can be judged as to whether or not they improve the agreement with experimental data.

The hamiltonian of the Isgur-Karl model [1] consists of three pieces,

$$H = H_0 + U + H_{\text{hyp}},\tag{1}$$

describing quark confinement, anharmonic perturbations, and hyperfine interactions, respectively. The requirement of translation invariance suggests the use of Jacobi coordinates

$$\mathbf{x}_{\varrho} = \frac{1}{\sqrt{2}} (\mathbf{x}_1 - \mathbf{x}_2), \quad \mathbf{x}_{\lambda} = \frac{1}{\sqrt{6}} (\mathbf{x}_1 - \mathbf{x}_2 - 2\mathbf{x}_3).$$
 (2)

The confinement hamiltonian  $H_0$  describes the internal motion of three quarks of mass m, which are bound by the confining harmonic potential

$$V_0 = \frac{m\omega^2}{6} \cdot [(\mathbf{x}_1 - \mathbf{x}_2)^2 + (\mathbf{x}_2 - \mathbf{x}_3)^2 + (\mathbf{x}_3 - \mathbf{x}_1)^2]$$
$$= \frac{m\omega^2}{2} \cdot (\mathbf{x}_e^2 + \mathbf{x}_\lambda^2). \tag{3}$$

The hamiltonian  $H_0$  is given by

$$H_0 = 3 m + \frac{1}{2m} (\mathbf{p}_{\varrho}^2 + \mathbf{p}_{\lambda}^2) + \frac{1}{2} m \omega^2 (\mathbf{x}_{\varrho}^2 + \mathbf{x}_{\lambda}^2). \tag{4}$$

The use of harmonic potentials is an overidealization, and the second term U in (1), describing spinindependent anharmonic 2-body forces and possibly 3-body forces, is introduced to correct this circumstance. This piece will be further discussed below. The third term (with  $\mathbf{x}_{ij} = \mathbf{x}_i - \mathbf{x}_j$ ,  $r_{ij} = |\mathbf{x}_{ij}|$ , and i, j =1, 2, 3)

$$H_{\text{hyp}} = \sum_{i < j} \frac{2\alpha_s}{3m^2} \left[ \frac{8\pi}{3} (\mathbf{s}_i \cdot \mathbf{s}_j) \, \delta^3(\mathbf{x}_{ij}) + \frac{1}{r_{ij}^3} \left( \frac{3(\mathbf{s}_i \cdot \mathbf{x}_{ij})(\mathbf{s}_j \cdot \mathbf{x}_{ij})}{r_{ij}^2} - (\mathbf{s}_i \cdot \mathbf{s}_j) \right) \right]$$

describes the spin-dependent short range quark-quark interaction that is suggested by the 1-gluon exchange graph of QCD. For the purpose of the Isgur-Karl model the strength of the hyperfine interaction, in other words the effective QCD coupling constant  $\alpha_s$ , is considered to be an adjustable parameter.

The prescription by Isgur and Karl requires the hamiltonian  $H = H_0 + U + H_{\rm hyp}$  to be investigated in the finite dimensional truncated Hilbert space  $\mathscr{H}^{(N)}$ 

that is spanned by the eigenfunctions of  $H_0$  with up to N oscillator excitation quanta. In  $\mathscr{H}^{(N)}$ , with the eigenfunctions of  $H_0$  chosen as a basis, the operators U and  $H_{\text{hyp}}$  are represented by (in general) nondiagonal hermitean matrices  $U^{(N)}$  and  $H_{\text{hyp}}^{(N)}$ . The total hamiltonian H is represented in the truncated Hilbert space  $\mathscr{H}^{(N)}$  by the  $N \times N$ -matrix  $H^{(N)}$ , which must now be numerically diagonalized to obtain its eigenvalues. These are then compared to the masses of experimentally known baryon resonances. The adjustable parameters of the model must be determined so that in some sense a "best fit" is obtained. Available parameters are  $m, \omega, \alpha_s$ , and several parameters derived from the operator U.

## 3. The Spin-Independent Anharmonic Perturbation U

All spin-independent forces acting in the threequark system are supposed to be derivable from a local potential [16]

$$V(\mathbf{x}_{\varrho}^{2}, \mathbf{x}_{\lambda}^{2}, (\mathbf{x}_{\varrho} \cdot \mathbf{x}_{\lambda}))$$

$$= \frac{1}{2} m \omega^{2} (\mathbf{x}_{\varrho}^{2} + \mathbf{x}_{\lambda}^{2}) + U(\mathbf{x}_{\varrho}^{2}, \mathbf{x}_{\lambda}^{2}, (\mathbf{x}_{\varrho} \cdot \mathbf{x}_{\lambda})).$$
(6)

The harmonic term has been separated from U for the purely technical reason that combined with the kinetic energy terms it yields the exactly soluble confining hamiltonian  $H_0$ . The oscillator constant  $\omega$  should be chosen so that in the region of  $(\mathbf{x}_\varrho, \mathbf{x}_\lambda)$ -space, where the wavefunctions of our truncated Hilbert space differ appreciably from zero, the remainder term  $U(\mathbf{x}_\varrho^2, \mathbf{x}_\lambda^2, (\mathbf{x}_\varrho \cdot \mathbf{x}_\lambda))$  is in some sense minimized. There are good reasons to believe that U can be well approximated by a sum of 2-body potentials  $U_2$  [17], but U may also contain a term describing a genuine 3-body potential  $U_3$  [18].

$$U(\mathbf{x}_{\varrho}^{2}, \mathbf{x}_{\lambda}^{2}, (\mathbf{x}_{\varrho} \cdot \mathbf{x}_{\lambda}))$$
(7)  
=  $U_{2}(r_{12}) + U_{2}(r_{13}) + U_{2}(r_{23}) + U_{3}(\mathbf{x}_{\varrho}^{2}, \mathbf{x}_{\lambda}^{2}, (\mathbf{x}_{\varrho} \cdot \mathbf{x}_{\lambda})).$ 

When the 3-body potential  $U_3$  is neglected, the matrix elements of the truncated operator  $U^{(N)}$  can be parametrized in terms of N+1 constants

$$a_n = 12\pi \left(\frac{\alpha}{2\pi}\right)^{n+\frac{1}{2}} \int_0^\infty dx \ U_2(x) x^{2n} \exp\left(-\frac{1}{2}\alpha x^2\right),$$

$$(n = 1, 2, ..., N+1) \quad (8)$$

with  $\alpha = \sqrt{m\omega}$ . The first four of these parameters are usually denoted as  $a = a_1$ ,  $b = a_2$ ,  $c = a_3$ ,  $d = a_4$ . When up to N = 3 oscillator quanta are taken into

account, the following combinations are very convenient:

$$E_0 = 3m + 3\omega + a,$$

$$\Omega = \omega - \frac{1}{2}a + \frac{1}{3}b,$$

$$\Delta_1 = -\frac{5}{4}a + \frac{5}{3}b - \frac{1}{3}c,$$

$$\Delta_2 = +\frac{1}{2}b - \frac{2}{5}c + \frac{2}{35}d.$$
(9)

In the case that one restricts attention to one oscillator shell at a time and neglects matrix elements connecting different harmonic oscillator shells, the eigenvalues of the operator  $H_0^{(N)} + U^{(N)}$  can be explicitly stated in terms of  $E_0$ ,  $\Omega$ ,  $\Delta_1$ ,  $\Delta_2$ . For the detailed results we refer to the paper by Bowler et al. [9].

The calculation reported in this paper, however, does consider several oscillator shells simultaneously, and therefore the neglect of these matrix elements is here not justified. Because of parity conservation, only oscillator shells differing by an even number of excitation quanta can mix, i.e. mixing occurs either between the N=0 and N=2 shells or between the N=1 and N=3 shells. Taking into account the implications of rotational and permutational symmetry, one concludes that possible mixing of the N=0 and N=2 shells must be effected by a term proportional to

$$\mathbf{a}_{o} \cdot \mathbf{a}_{o} + \mathbf{a}_{\lambda} \cdot \mathbf{a}_{\lambda} + \mathbf{a}_{o}^{\dagger} \cdot \mathbf{a}_{o}^{\dagger} + \mathbf{a}_{\lambda}^{\dagger} \cdot \mathbf{a}_{\lambda}^{\dagger}, \tag{10}$$

where a and a† are the annihilation and creation operators of the  $\varrho$  and  $\lambda$  oscillators that make up the hamiltonian  $H_0$ . Since the potential U is assumed to be local, i.e. independent of the momenta  $\mathbf{p}_a$  and  $\mathbf{p}_{\lambda}$ , the expression in (10) can arise only from a term const  $(\mathbf{x}_{\varrho}^2 + \mathbf{x}_{\lambda}^2)$ , where we are using  $\mathbf{x}_{\varrho} = (2m\omega)^{-1/2} (\mathbf{a}_{\varrho} + \mathbf{a}_{\varrho}^{\dagger})$ and  $\mathbf{x}_{\lambda} = (2m\omega)^{-1/2}(\mathbf{a}_{\lambda} + \mathbf{a}_{\lambda}^{\dagger})$ . A term const  $\cdot (\mathbf{x}_{\rho}^2 + \mathbf{x}_{\lambda}^2)$ contained in U would be a harmonic contribution contained in U, which can be transferred from U to  $H_0$  by an appropriate adjustment of the oscillator frequency  $\omega$ . For this reason we can assume with no loss of generality that with the optimum choice of  $\omega$ the potential U induces no mixing between the N=0and N = 2 oscillator shells. Because the mixing matrix elements are proportional to  $\Omega - \omega$ , the non-occurrence of mixing between the N = 0 and N = 2 oscillator shells implies  $\Omega = \omega$  (cf. the numerical results discussed in Section 5).

The situation is quite different when we look at the possible mixing between the N=1 and N=3 oscillator shells. The N=3 shell contains two multiplets with mixed permutational symmetry, negative parity, and orbital angular momentum L=1, usually denoted

as  $[70,1^-]_{N=3}^{(i)}$  (i=a,b). These have the same symmetry properties as the states  $[70,1^-]_{N=1}$  and differ from them only by radial excitations. Consequently, the matrix elements  $\langle [70,1^-]_{N=1}|U|[70,1^-]_{N=3}^{(i)}\rangle$  turn out to be nonvanishing. Explicit computation shows that under the assumption  $\Omega=\omega$  these matrix elements are proportional to the parameter  $\Delta_1$ . In numerical calculations  $\Delta_1$  is a comparatively large quantity. Strong mixing of the  $[70,1^-]$  states contained in the N=1 and N=3 oscillator shells due to the anharmonic potential U is therefore absolutely unavoidable and can not be eliminated by a reshuffling of terms as in the positive parity case. The mixing tends to lower the N=1 states and to raise to N=3 states.

As will be discussed in more detail below, the parameter  $\Delta_2$  is not well determined by the fitting procedures to the experimental resonance energies. It has been pointed out by Forsyth and Cutkosky [12] that a confining potential as the one that one expects in the framework of QCD (Coulomb plus linear term) would lead to a small negative value for  $\Delta_2$ .

The possible influence of the 3-body potential  $U_3$  is largely unknown. When U is a sum of 2-body potentials,  $U = U_2(r_{12}) + U_2(r_{23}) + U_2(r_{13})$ , the splitting of the N=2 oscillator shell under the influence of U is completely determined by the parameter  $\Delta_1$ , but when a 3-body term  $U_3$  is added, this is no longer true for the  $[56,0^+]_{N=2}$  state, whose splitting now depends on further parameters [16, 19]. Similar effects of the 3-body forces must be expected for negative parity states, and presumably some of the N=3 states will not follow the splitting pattern predicted under the assumption that U is built with 2-body forces only. For results obtained with hyperspherical potentials see Richard and Taxil [20].

## 4. Method of Calculation

For comparison with experimental data a computational scheme has to be set up, by which for a given set of parameters a theoretical prediction is obtained, that consists of the eigenvalues and the corresponding eigenvectors of the matrix  $H^{(N)}$ , which is the total hamiltonian restricted to the truncated Hilbert space  $\mathcal{H}^{(N)}$ . These eigenvalues are then compared to a set of baryon resonance parameters obtained from phase shift analyses and inelastic reactions. Systematic variations of the available parameters lead to a "best fit" of the experimental data.

To set up the computational scheme we have first constructed all wave functions of non-strange states with up to N=3 oscillator excitations. The configuration space parts of the wave functions were obtained in agreement with the creation operator polynomials derived by Bowler et al. [9], these were then combined with non-strange SU(6) spin-isospin wave functions into wave functions symmetric under permutations of the three quarks. In addition one also has the totally antisymmetric color factor that takes care of the Pauli principle. With these wave functions the matrix elements of the anharmonic perturbation U and of the hyperfine interaction  $H_{\mathrm{hyp}}$  were computed and tabulated, using standard methods described by Isgur and Karl [1]. Collecting these results one obtains the matrix  $H^{(N=3)}$ , which is then diagonalized numerically. The eigenvalues correspond to the energies of baryon states predicted by the model, the eigenvectors provide information about the configuration mixing in these states.

For the optimization of the parameters a number of experimentally well known resonances (mostly with 4\*-status) were selected and compared to the model predictions. The function

$$F = \sum_{i} \frac{(E_i^{\text{exp}} - E_i^{\text{theor}})^2}{(\Gamma_i^{\text{exp}})^2},$$
(11)

where the sum extends over the selected resonances, was minimized by a computer routine. The nucleon N(939) and the  $\Delta(1232)$  were given special treatment. For these very large (but arbitrary) weight factors were introduced to ensure that their masses would come out right. All other resonances have been weighted by the inverse squares of the experimental widths rather than the socalled "errors" of the experimental resonance energies given in the literature. These errors have some meaning within the systematic procedures of one particular data analysis, but they generally underestimate the uncertainty of the experimental resonance parameters. This becomes evident when one compares the works of different groups. In particular, for broad inelastic resonances the results depend on the resonance definition that has been adopted and on the method of analysis 2. In our context the optimization procedure based on (11) always led to reasonable and numerically stable results, whereas corresponding calculations that used the "errors" of the resonance energies led to results strongly dependent on small changes of the "errors".

#### 5. Numerical Aspects

The Isgur-Karl model with up to N = 3 oscillator excitations predicts 14 positive parity and 35 negative parity nucleon states, 9 positive parity and 17 negative parity ∆-states, altogether 75 nonstrange baryon states with spins ranging up to 9/2. On the experimental side the Particle Data Group tables [21] list 19 nucleon resonances and 18 4-resonances with corresponding quantum numbers, among these there are 10 nucleon and 6 △-states rated as 4\*-resonances. Our theoretical model provides us with 6 parameters, namely the harmonic oscillator constant  $\omega$  contained in  $H_0$ , the constants  $E_0$ ,  $\Omega$ ,  $\Delta_1$ ,  $\Delta_2$ , describing the anharmonic perturbation U, and a constant  $\delta$  determined by the strength of the hyperfine interaction  $H_{\text{hyp}}$ . In accordance with the work of Isgur and Karl [1] this parameter is defined as

$$\delta = \frac{4\alpha_s \,\omega^{3/2}}{3\sqrt{2\pi m}}\,,\tag{12}$$

where m is the constituent quark mass and  $\alpha_s$  the effective QCD coupling constant.

By comparison with the experimental data it turns out that all of these parameters except  $\Delta_2$  are determined by the data within rather narrow limits. Below we describe four out of a larger number of fits. Except where noted otherwise the input data have been the resonance energies and widths obtained by the Karlsruhe-Helsinki (KH) phase shift analysis [14, 21]. The optimal parameters determined by these fits are collected in Table 1, the resonance energies predicted by the Isgur-Karl model are listed in Table 2 together with "experimental" values derived from phase shift analyses.

Table 1. Model parameters determined by the four fits described in the text.

Fit	$E_{0}$	δ	ω	Ω	$\Delta_1$	$\Delta_2$	$\delta E_{70,1}$	$\delta E_{56,3}$
a b c d	1134 1140 1140 1140	260 260	473 473	473 473	573 573	-22 140 631 -22	274	-134

<sup>&</sup>lt;sup>2</sup> For a detailed discussion of the existing problems and the discrepancies found in the literature we refer to the handbook article by Höhler [15, p. 202].

Table 2. Resonance energies predicted by our four fits to the Isgur-Karl model. Comparison is made to the resonance energies deduced from the experimental data by the Karlsruhe-Helsinki ("Höhler") and the Carnegie-Mellon-Berkeley ("Cutkosky") phase shift analyses, and to some additional data as indicated. All data have been quoted according to the 1990 Review of Particle Properties [21].

Table 2.1. Nucleon states with positive parity.

State	Theoretic	cal results			Experime	ntal data			
	Fit (a)	Fit (b)	Fit (c)	Fit (d)	Höhler	Cutkosky	Others	Status	Width
$\overline{P_{11}}$	939 1456 1774 1962 2121	939 1384 1734 1940 2110	939 1384 1734 1940 2110	939 1380 1735 1941 2111	939 1410 1723 2050	939 1440 1700 2125		****  ***  ***	Stable $\Gamma \approx 200$ $\Gamma \approx 110$ $\Gamma \approx 230$
P <sub>13</sub>	1775 1906 2002 2029 2117	1742 1867 1977 2007 2106	1742 1867 1976 2007 2106	1743 1867 1977 2008 2107	1710	1700		***	<i>Γ</i> ≈ 200
F <sub>15</sub>	1780 2004 2070	1747 1980 2048	1747 1979 2048	1748 1980 2049	1684 1882	1680	1970°	****	$\Gamma \approx 125$ $\Gamma \approx 120$
$F_{17}$	2009	1987	1987	1987	2005	1970		**	$\Gamma \approx 350$

<sup>&</sup>lt;sup>a</sup> Seen by Langbein and Wagner in  $\pi N \to \Sigma K$  [25].

Fit (a): For a first orientation we have selected 6 well known resonances to fix our 6 parameters, namely  $N_{\frac{1}{2}}^{+}$  (939),  $N_{\frac{1}{2}}^{+}$  (1440),  $\Delta_{\frac{3}{2}}^{+}$  (1232),  $\Delta_{\frac{7}{2}}^{+}$  (1950),  $N_{\frac{5}{2}}^{-}$  (1675), and  $N_{\frac{9}{2}}^{-}$  (2250). The first 5 resonances fix the parameters  $E_0$ ,  $\delta$ ,  $\omega$ ,  $\Omega$ , and  $\Delta_1$ , while the last one determines  $\Delta_2$ . Using the resonance energies obtained by the Karlsruhe-Helsinki (KH) phase shift analysis [14, 21] we found  $E_0 = 1134 \text{ MeV}$ ,  $\delta = 260 \text{ MeV}$ ,  $\omega = 478$  MeV,  $\Omega = 480$  MeV,  $\Delta_1 = 505$  MeV, and  $\Delta_2$ = -22 MeV. These values are in good agreement with the original analyses by Isgur and Karl [1]. It is interesting to note the close proximity between  $\omega$  and  $\Omega$ , which indicates the absence of mixing that would come from the anharmonic perturbation U. The configuration mixing required for the simultaneous fit of the nucleon  $N_{\frac{1}{2}}^+$  (939) and the Roper resonance  $N_{\frac{1}{2}}^+$ (1440) must therefore be solely due to the hyperfine interaction [1, 8]. The coincidence  $\omega \approx \Omega$  persisted through all subsequent calculations.

Fit (b): In a second round of calculations we tested how sensitively the model parameters depend on the particular choice of input data. Generally speaking,  $E_0$ ,  $\delta$ , and  $\omega \approx \Omega$  showed little variation in all fits that included the nucleon, the  $\Delta$  (1232), and the Roper resonance  $N^{\frac{1}{2}+}$  (1440). The anharmonic parameter  $\Delta_1$  naturally exhibits some dependence on our choice of higher energy states, while  $\Delta_2$  is strongly dependent

on the choice of input data and on the method of calculation. As an example we quote the results of a fit that used all positive parity 4\*-resonances (as determined by the Karlsruhe-Helsinki phase shift analysis) for the optimization of the model parameters  $E_0$ ,  $\delta$ ,  $\omega$ ,  $\Omega$ , and  $\Delta_1$ , and the  $N^{\frac{9}{2}}$  (2250) 4\*-resonance for the determination of  $\Delta_2$ . The parameters were obtained as follows:  $E_0$  = 1140 MeV,  $\delta$  = 260 MeV,  $\omega$  = 473 MeV,  $\Omega$  = 473 MeV,  $\Delta_1$  = 573 MeV, and  $\Delta_2$  = 140 MeV.

Fit (c): When in addition to the  $N\frac{9}{2}^{-}$  (2250) resonance all remaining negative parity 4\*-resonances are included in the input data, the first five parameters remain unchanged, but  $\Delta_2$  increases dramatically to  $\Delta_2 = 620$  MeV.

Comparing the model prediction to the experimental data, we note through all these fits two major discrepancies:

(i) The lowest negative parity states that are thought to contain a P-state assigned to the N=1 oscillator shell as their predominant component  $[N^{\frac{1}{2}}]^{-}$  (1535),  $N^{\frac{1}{2}}]^{-}$  (1650),  $N^{\frac{3}{2}}]^{-}$  (1520),  $N^{\frac{3}{2}}]^{-}$  (1700),  $N^{\frac{5}{2}}]^{-}$  (1675),  $\Delta^{\frac{1}{2}}]^{-}$  (1620),  $\Delta^{\frac{3}{2}}]^{-}$  (1700)] all come out too low by amounts between 120 and 170 MeV. Earlier calculations that were restricted to odd parity states coming from the N=1 oscillator shell did not encounter this difficulty since they adjusted  $E_0$  to fit the average

Table 2.2. Nucleon states with negative parity.

State	Theoretic	cal results			Experime	ntal data			
	Fit (a)	Fit (b)	Fit(c)	Fit (d)	Höhler	Cutkosky	Others	Status	Width
S <sub>11</sub>	1404 1497 1884	1392 1494 1821	1423 1548 1844	1506 1641 1741	1526 1670 1880	1550 1650		****	$\Gamma \approx 150$ $\Gamma \approx 150$ $\Gamma \approx 95$
	2050 2215 2252 2330 2399 2418	2109 2165 2339 2383 2488 2577	2148 2173 2368 3059 3149 3343	2051 2163 2209 2309 2373 2435		2180		*	<i>Γ</i> ≈ 350
$D_{13}$	1410 1556 1883 2117 2226 2304 2323 2332 2359 2418 2441	1397 1558 1818 2130 2230 2278 2302 2375 2433 2496 2642	1432 1630 1839 2159 2265 2292 2375 2504 3066 3209 3424	1508 1690 1735 2094 2165 2270 2285 2303 2315 2378 2502	1519 1731 2081	1525 1675 1880 2060	1910ª	****  **  **	$\Gamma \approx 125$ $\Gamma \approx 100$ $\Gamma \approx 180$ $\Gamma \approx 300$
$D_{15}$	1525 2107	1525 2098	1586 2154	1668 2046	1679	1675		****	$\Gamma \approx 155$
	2217 2281 2309 2319 2358 2384 2419	2176 2265 2285 2323 2353 2409 2545	2199 2291 2340 2450 2555 2581 3335	2164 2232 2258 2281 2334 2375 2398	2228	2180	1910ª	**	<i>Γ</i> ≈ 350
$G_{17}$	2111 2271 2292 2355 2393	2100 2258 2293 2335 2383	2158 2336 2447 2534 2547	2050 2209 2233 2313 2350	2140	2200	2140 в	***	<i>Γ</i> ≈ 390
$G_{19}$	2249	2268	2513	2187	2268	2250	2200 b	****	$\Gamma \approx 300$

<sup>&</sup>lt;sup>a</sup> Bell et al. [23] and Saxon et al. [24] find evidence for a resonance at 1900 to 1920 in the inelastic channel  $\pi^- p \to \Lambda K^0$ .

<sup>b</sup> Hendry [26].

Table 2.3. Delta states with positive parity.

State	Theoretic	cal results			Experime	Experimental data				
	Fit (a)	Fit (b)	Fit (c)	Fit (d)	Höhler	Cutkosky	Others	Status	Width	
P <sub>31</sub>	1906 1948	1866 1910	1866 1909	1866 1910	1888	1910	1715ª	****	<i>Γ</i> ≈ 220	
$P_{33}$	1232 1765 1937 2064	1232 1695 1932 2010	1231 1695 1932 2010	1231 1692 1933 2011	1232 1522 1868	1232 1600 1920	1955 <sup>b</sup>	**** ** ***	$\Gamma \approx 115$ $\Gamma \approx 260$ $\Gamma \approx 250$	
$F_{35}$	1974 2027	1938 2005	1938 2005	1939 2006	1905	1910 2200	2000°	****	$\Gamma \approx 300$ $\Gamma \approx 400^{\text{d}}$	
$F_{37}$	1949	1914 see footn	1914 ote e	1914	1913 2425	1950 2350		****	$\Gamma \approx 240$ $\Gamma \approx 300$	

<sup>&</sup>lt;sup>a</sup> Chew reports four resonances at 1715, 1778, 1960, and 2121 MeV respectively [27]. 
<sup>c</sup> Manley [28]. 
<sup>d</sup> Cutkosky [13]. 
<sup>e</sup> This resonance would require the inclusion of N=4 oscillator states and is not contained in our theoretical calculation.

Table 2.4. Delta states with negative parity.

State	Theoretic	cal results			Experime	ntal data				
	Fit (a)	Fit(b)	Fit (c)	Fit (d)	Höhler	Cutkosky	Others	Status	Width	
S <sub>31</sub>	1533 2143 2280 2352	1533 2055 2224 2558	1597 2056 2225 3349	1673 1921 2231 2402	1610 1908	1620 1890 2150	1919 <sup>a</sup> 2047 <sup>b</sup>	**** ***	$\Gamma \approx 140$ $\Gamma \approx 150$ $\Gamma \approx 200$	
$D_{33}$	1530 2117 2233 2314 2360 2379	1530 2027 2187 2258 2335 2560	1593 2027 2192 2258 2335 3349	1671 1895 2183 2258 2334 2414	1680	1710 1940	2058 ª	****	$\Gamma \approx 250$ $\Gamma \approx 200$	
$D_{35}$	2133 2256 2311	2043 2248 2281	2043 2252 2332	1910 2193 2255	1901 2305	1940 2400	1910°	***	$\Gamma \approx 250$ $\Gamma \approx 350$	
$G_{37}$	2376 2257 2327	2332 2264 2281	2522 2269 2522	2333 2195 2271	2215	2200	2280°	*	$\Gamma \approx 400$	
$G_{39}$	2292	2236	2236	2237	2468	2300	2200°	**	$\Gamma \approx 450$	

<sup>&</sup>lt;sup>a</sup> Chew [27].

energy of the states of the  $[70,1^-]_{N=1}$  multiplet. In the present calculation this discrepancy can be traced to the fact that the configuration mixing due to the anharmonic perturbation, which is present in the negative parity sector, tends to depress the low lying states and to raise the high lying states. Even with  $\omega = \Omega$  the mixing matrix elements are nonvanishing, because they depend on the large parameters  $\Delta_1$  and on  $\Delta_2$ . In fact, the large variation of  $\Delta_2$  that we obtained when we included all negative parity 4\*-resonances in our input data, can be seen as an attempt of the computer to improve the fit of the low lying P-wave states by reducing the amount of configuration mixing.

(ii) States that derive predominantly from the SU(6) multiplet  $[56,1^-]_{N=3}$ , notably the  $\Delta \frac{1}{2}^-$  (1900) and the  $\Delta \frac{5}{2}^-$  (1930), both classified as 3\*-resonances, are predicted at energies too high by about 130 MeV. This discrepancy has already been noticed by previous investigators [10, 12], it has as yet not found a satisfactory explanation. In agreement with the previous analyses we find the above mentioned  $\Delta$ -states to be rather pure  $[56,1^-]_{N=3}$  states, so that the conclusion that our  $[56,1^-]_{N=3}$  multiplet is too high by 140 MeV appears unavoidable. The results of Richard and Taxil [20] seem to indicate that the  $[56,1^-]_{N=3}$  multiplet is in fact strongly dependent on the details of the anharmonic perturbation.

These two observations have led us to yet another attempt to fit the data, reported here as fit (d).

Fit (d): In a final round of calculations we have introduced two additional free parameters by allowing the computer to choose the optimal values for the energies of the SU(6) multiplets  $[70,1^-]_{N=1}$  and  $[56,1^-]_{N=3}$ . As expected, with two additional parameters the fit to the experimental data was considerably improved, however, the procedure lacks a deeper theoretical justification, and also subsequent studies on the pion decay of baryon resonances [22] showed that the baryon wave functions so obtained have some undesirable features.

#### 6. Comparison with the Experimental Data

This section presents a detailed comparison of our model calculations (see Table 2 and Fig. 1) to experimental data as contained in the 1990 Review of Particle Properties (RPP) [21]. Special states will be commented upon, discrepancies will be pointed out, and attention will be drawn to interesting pecularities. The material is organized according to the partial waves in pion-nucleon scattering in which a particular resonance state would be expected to be observed.

<sup>&</sup>lt;sup>b</sup> Chew sees two resonances at 2047 and 2203 MeV respectively [27]. <sup>c</sup> Hendry [26].

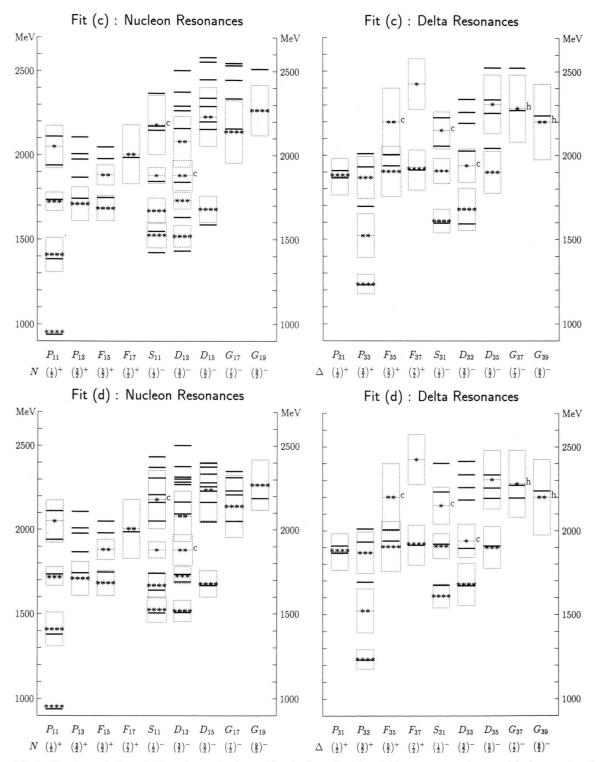


Fig. 1. The theoretical predictions (heavy horizontal bars) of our fits (c) and (d) are compared to empirical resonance data (unmarked data: Karlsruhe-Helsinki analysis; data marked "c": resonances seen only in the Carnegie-Mellon-Berkeley analysis; data marked "h": from the high partial wave analysis of Hendry [23]). Each resonance is represented by a rectangular box, centered (horizontal dashed line) at the empirical resonance energy and with a vertical size corresponding to the empirical full width of the resonance. The asterisks indicate the quality of the data. The vertical scales measure energy in MeV.

Nucleon States with Positive Parity

 $P_{11}$ : Our calculation was set up so that the nucleon mass had to be reproduced exactly. As in the work of previous authors the nucleon is found to be mainly a  $[56,0^+]_{N=0}$  state with sizable admixtures from the  $[56,0^+]_{N=2}$  and  $[70,0^+]_{N=2}$  multiplets<sup>3</sup>. The Roper 4\*-resonance N(1440) is mainly a  $[56,0^+]_{N=2}$  state with appreciable admixture of  $[56,0^+]_{N=0}$ , its mass comes out 30–40 MeV too low. The 3\*-resonance N(1710) is reproduced in a satisfactory manner. The highest known resonance N(2100)(1\*-status) is broad and not well determined, it can be accommodated in the model.

 $P_{13}$ : The 1990 RPP lists a 1\*-resonance N (1540), the existence of which is considered as doubtful. The Isgur-Karl model provides no place for such a state. The lowest state predicted by the model has a mass of about 1742 MeV, in fair agreement with the 4\*-resonance N (1720) seen in this partial wave  $^4$ .

 $F_{15}$ : In this partial wave we find the well known 4\*-resonance N(1680). Its predicted mass is too high by about 60 MeV. Theoretically this state derives, like the N(1720) resonance in the  $P_{13}$  wave, from the  $[56,2^+]_{N=2}$  and  $[70,2^+]_{N=2}$  multiplets 4, it has a similar mass and differs from the N(1720) only by its angular momentum coupling. It is difficult to see how a greater mass splitting could be generated. At higher energy the 1990 RPP lists a 2\*-resonance N(2000). The reported mass values range from 1882 MeV (KH) to 2175 MeV, it is not seen in the CMU-LBL phase shift analysis. The Isgur-Karl model could accommodate two states in this energy range.

 $F_{17}$ : The only known resonance in this partial wave is N(1990) with a 2\*-rating. It fits well into the model.

<sup>3</sup> According to fit (c) the composition is

Nucleon States with Negative Parity

 $S_{11}$ : The 1990 RPP contains two well established 4\*resonances N(1535) and N(1650). For both of them the wave function is predominantly contained in the  $[70,1^{-}]_{N=1}$  multiplet, but with significant admixtures from the  $[56,1^-]_{N=3}$  multiplet and the high lying  $[70,1^{-}]_{N=3}^{b}$  multiplet <sup>5</sup>. For both states the theoretical values are too low by roughly 100 MeV. This feature has persisted to varying degrees in all fits that we have attempted, it seems to arise from the configuration mixing between the N = 1 and N = 3 oscillator shells that is caused by the nondiagonal matrix elements of the anharmonic potential U. A significant improvement of the fit could only be obtained by introducing the energy of the  $[70,1^{-}]_{N=1}$  as an additional free parameter (our fit (d)). The 1990 RPP also lists an ill determined 1\*-state N(2090) that summarizes all structures seen above 1800 MeV. In particular the KH-analysis reports a state at 1880 MeV, while the CMU-LBL-analysis finds a broad structure at 2180 MeV. Such states can clearly be accommodated by our model. With regard to states predicted at energies of 2300 MeV or above we must caution that these predictions depend strongly on the ill determined parameter  $\Delta_2$ .

 $D_{13}$ : Here similar observations are made as for the partial wave  $S_{11}$ . The 1990 RPP reports two resonances N(1520) and N(1700) with 4\*-status and 3\*status respectively. Again, for both of them the wave function is predominantly contained in the  $[70, 1^{-}]_{N=1}$ multiplet, but with significant admixtures from the  $[56,1^{-}]_{N=3}$  multiplet and from the high lying  $[70,1^{-}]_{N=3}^{b}$  multiplet respectively. The theoretical values are too low by roughly 80 to 90 Mev. The same discussion applies to this discrepancy as in the case of the partial wave  $S_{11}$ . At higher energy the 1990 RPP reports evidence for a 2\*-structure named N(2080), which according to the CMU-LBL-analysis might really consist of two resonances at 1880 MeV and 2060 MeV, while the KH-analysis finds evidence only for a resonance at 2081 MeV. With wave functions

 $<sup>\</sup>begin{split} N(939) &= 0.937 \left[ 56 \left( \frac{1}{2} \right), 0^+ \right]_{N=0} - 0.281 \left[ 56 \left( \frac{1}{2} \right), 0^+ \right]_{N=2} \\ &- 0.205 \left[ 70 \left( \frac{1}{2} \right), 0^+ \right]_{N=2} + \dots, \\ N(1440) &= 0.287 \left[ 56 \left( \frac{1}{2} \right), 0^+ \right]_{N=0} - 0.958 \left[ 56 \left( \frac{1}{2} \right), 0^+ \right]_{N=2} \\ &- 0.005 \left[ 70 \left( \frac{1}{2} \right), 0^+ \right]_{N=2} + \dots. \\ ^4 \text{ According to fit } (c) \text{ the composition is} \\ N(1720) &= 0.875 \left[ 56 \left( \frac{1}{2} \right), 2^+ \right]_{N=2} - 0.447 \left[ 70 \left( \frac{1}{2} \right), 2^+ \right]_{N=2} \\ &+ 0.187 \left[ 70 \left( \frac{3}{2} \right), 0^+ \right]_{N=2} + \dots, \\ N(1680) &= 0.901 \left[ 56 \left( \frac{1}{2} \right), 2^+ \right]_{N=2} - 0.433 \left[ 70 \left( \frac{1}{2} \right), 2^+ \right]_{N=2} + \dots \end{split}$ 

<sup>&</sup>lt;sup>5</sup> According to fit (c) the composition is  $N(1535) = 0.892 \left[70\left(\frac{1}{2}\right), 1^{-}\right]_{N=1} + 0.230 \left[70\left(\frac{3}{2}\right), 1^{-}\right]_{N=1} \\ -0.347 \left[56\left(\frac{1}{2}\right), 1^{-}\right]_{N=3} + \dots,$   $N(1650) = -0.143 \left[70\left(\frac{1}{2}\right), 1^{-}\right]_{N=1} + 0.938 \left[70\left(\frac{3}{2}\right), 1^{-}\right]_{N=1} \\ + 0.225 \left[56\left(\frac{1}{2}\right), 1^{-}\right]_{N=3} + \dots.$ 

that come mainly from the  $[56,1^-]_{N=3}$  multiplet and the low lying  $[70,1^-]_{N=3}^a$  multiplet these states can easily be accommodated by our model. For higher energies the earlier remarks apply here likewise.

 $D_{15}$ : Only one resonance N(1675) with 4\*-status is known in this partial wave. The wave function is an almost pure  $[70(\frac{3}{2}),1^-]_{N=1}$  state. Not surprisingly we find again that the mass calculated from the model is too low by about 90 MeV. At the high energy end the 1990 RPP lists a state N(2200) with 2\*-status and a not well defined mass. The model predicts for this energy two closely neighbouring states whose wave functions are superpositions from the  $[56,3^-]_{N=3}$  and the low lying  $[70,1^-]_{N=3}$  multiplets. As for higher energies our previous comment applies.

 $G_{17}$ : There is one 4\*-resonance N (2190) in this partial wave, which fits into the model in a satisfactory manner. In fit (c) the wave function is predominantly  $[56(\frac{1}{2}),3^-]_{N=3}$  with some admixture of  $[70(\frac{1}{2}),3^-]_{N=3}$ , in fit (d) the relation vice versa.

 $G_{19}$ : This partial wave contains a well established 4\*-resonance N (2250). The wave function comes entirely from the  $[70,3^-]_{N=3}$  multiplet, and the model could easily fit the experimental mass value by simply adjusting the parameter  $\Delta_2$ . One then obtains a quite reasonable value  $\Delta_2 = -22$  MeV (our fit (a)). In contrast, the unsatisfactory value of our fit (c) stems from the fact that we have used  $\Delta_2$  as a completely free parameter and gave no special consideration to this particular resonance, but instead aimed at an optimal fit of all 4\*-resonances.

## Delta States with Positive Parity

 $P_{31}$ : The existence of a 1\*-resonance at 1550 MeV, which is seen only in inelastic channels, is classified as "doubtful" in the 1990 RPP. The Isgur-Karl model provides no space for a state with such a low mass. The two states predicted by the model are close together in mass and presumably can not be resolved by the experimental phase shift analysis, the KH and the CMU-LBL analyses both find only one state  $\Delta$  (1910) with a large width of over 200 MeV, see however Chew [27].

 $P_{33}$ : Our calculation has used the experimental  $\Delta$  (1232) mass as an input with a very high weight, so

that the mass given by the model must coincide with the experimental value. For the next state  $\Delta$  (1600), a 2\*-resonance, according to the 1990 RPP "the various analyses are not in good agreement", the masses range from 1522 MeV (KH) and 1600 MeV (CMU-LBL) up to 1690 MeV, all authors find a very large width 200-300 MeV. Our theoretical value of 1695 MeV is at the upper end of this range of uncertainty. The 3\*-state  $\Delta$  (1920) seems to be well represented by the model, comparing the theoretical value of 1932 MeV to the experimental values 1868 MeV (KH) and 1920 MeV (CMU-LBL), again the state has a width of 200-300 MeV. It is interesting to note that Chew [27] finds in this energy region two resonances with smaller widths and with masses of 1955 MeV and 2065 MeV respectively, as indeed the theoretical calculation seems to indicate.

 $F_{35}$ : The  $\Delta$  (1905) 4\*-resonance is clearly seen in the KH and the CMU-LBL phase shift analyses. Another 2\*-state  $\Delta$  (2000) has been found only in the inelastic channel  $N\pi \to N\pi\pi$ , mainly  $N\pi \to N\varrho$  [28]. Both states are well described by the Isgur-Karl model. Contrary to experiment, however, the theoretical prediction for the  $F_{35}$  state  $\Delta$  (1905) is higher than that for the  $F_{37}$  state  $\Delta$  (1950). This follows from the fact that both these states are predominantly  $[56(\frac{3}{2}), 2^+]_{N=2}$  states, they are split solely by the hyperfine interaction.

 $F_{37}$ : The 4\*-resonance  $\Delta$  (1950) appears in the KH-analysis as a well defined state at 1913 MeV, in agreement with our theoretical value. The second  $F_{37}$  state  $\Delta$  (2390) reported in the 1990 RPP would require N=4 oscillator wave functions to be included in the calculation, which has not been done in this work.

Delta States with Negative Parity

 $S_{31}$ : Experimental  $\pi N$  phase shift analyses find an energy difference of 70–90 MeV between the  $\Delta$  (1620), which is the lowest  $S_{31}$  state (with 4\*-rating), and the  $\Delta$  (1700), which is the lowest  $D_{33}$  state (with 4\*-rating). In contrast to this all our fits yield an energy difference of only 2–4 MeV. Both states come predominantly from the  $[70,1^-]_{N=1}$  multiplet with small admixtures from the high lying  $[70,1^-]_{N=3}$  multiplet. In the Isgur-Karl model they can, therefore, only be split by the hyperfine interaction, but this interaction is unable to

provide a splitting of the required size of about 80 MeV. There is no way to resolve this problem within the nonrelativistic model, however Capstick and Isgur [29] have been able to overcome this difficulty in their "relativized" calculation. In our fits (b) and (c) the theoretical mass for the  $\Delta$  (1900) 3\*-resonance comes out too high by about 150 MeV. According to the model this state is an almost pure  $[56,1^{-}]_{N=3}$  state. Similar findings in the  $D_{33}$  and  $D_{35}$ partial waves suggest that the  $[56, 1^{-}]_{N=3}$  multiplet as a whole is too high by about 130 MeV, presumably because the anharmonic terms of the confinement potential affect this multiplet in an unrealistic manner. In fact, Richard and Taxil [20] show that this multiplet is very sensitive to details of the anharmonic perturbation. In fit (d) the energy of the  $[56,1^{-}]_{N=3}$  multiplet was introduced as an additional parameter which led to a drastic improvement in the description of these states. There is some inconclusive (1\*-status) experimental evidence for a third resonance  $\Delta$  (2150) in the partial wave  $S_{31}$ , according to the model it would be a rather pure state from the low lying  $[70,1^{-}]_{N=3}$ multiplet.

 $D_{33}$ : The problem connected with a simultaneous fit of the  $D_{33}$  resonance  $\Delta(1700)$  and the  $S_{31}$  resonance  $\Delta(1620)$  has been just discussed. The second state seen in the partial wave  $D_{33}$  is  $\Delta(1940)$ . Its status is rather uncertain (1\*-rating), theoretically it should be a very pure  $[56,1^-]_{N=3}$  state and very likely, as discussed above, the theoretical mass is too large.

 $D_{35}$ : Phase shift analyses agree on a state  $\Delta(1930)$  with a 3\*-rating. Theoretically this state would be a pure  $[56,1^-]_{N=3}$  state, in fit (c) the predicted mass is too high by about 130 MeV as a consequence of the fact that the whole  $[56,1^-]_{N=3}$  multiplet is too high by this amount. Only when the mass of this multiplet is treated as a free parameter can a good fit of the mass be obtained (fit (d)).

 $G_{37}$ : Only one very broad resonance  $\Delta$  (2000) with 1\*-status is known. Phase shift analyses agree rather well on a mass value between 2200 and 2280 MeV, in agreement with the theoretical calculation. The assignment of this resonance to the  $[56(\frac{3}{2}), 3^-]_{N=3}$  or the  $[70(\frac{1}{2}), 3^-]_{N=3}$  multiplet depends strongly on the value chosen for  $\Delta_2$ .

 $G_{39}$ : Experimentally one extremely broad 2\*-resonance  $\Delta$  (2400) is known. The mass values given range from 2200 to 2468 MeV. Our model describes this state as pure  $[56, 3^{-}]_{N=3}$  with a mass of 2236 MeV.

#### 7. Relation to Other Theoretical Works

Closest related to our work is that of C. P. Forsyth and R. E. Cutkosky [11, 12]. While our own work has tried to remain as closely as possible within the original formulation of the Isgur-Karl model, these authors have introduced a number of modifications: Most importantly the contact term in the hyperfine interaction was allowed to assume different strengths for even parity and odd parity resonances, also the relative strength of the contact and tensor terms in the hyperfine interaction was taken as an adjustable parameter, moreover a certain amount of spin-orbit interaction was introduced with a strength different for even parity and odd parity resonances 6. With the additional parameters at their disposal their fit of the resonance energies is better than our fit (c) (unmodified Isgur-Karl model). Our fit (d), where we introduced the energies of the  $[70,1^-]_{N=1}$  and  $[56,1^-]_{N=3}$ multiplets as additional free parameters, is the one more comparable to their calculation. In regard to configuration mixing we find rough agreement for the well established low lying excited states, but for energetically high excited states predictions are uncertain in some cases. For example, the  $G_{37}$  resonance  $\Delta$  (2200) is assigned by our fit (c) to the  $[56,3^-]_{N=3}$ multiplet (in agreement with Corvi [10]), but by our fit (d) to the  $[70,3^-]_{N=3}$  multiplet (in agreement with Forsyth and Cutkosky). A similar observation holds for the  $G_{17}$  4\*-resonance N (2190). Our fits (c) and (d)differ, aside from the two additional parameters in fit (d), in their very different values of the parameter  $\Delta_2$ , which has a strong influence on the energy and composition of these resonances.

Other works where the N=3 oscillator shell has been taken into consideration employ calculational methods different from that of the Isgur-Karl model. An extensive study by Böhm [30] used the variational method, where a specific form for the confinement

<sup>&</sup>lt;sup>6</sup> It is not clear to us from their paper whether or not the mixing between the N=1 and the N=3 oscillator shells arising from the anharmonic confining potential U was taken into account.

potential is assumed, which is then approximately diagonalized by using harmonic oscillator wave functions as test functions, and by optimizing the harmonic oscillator frequencies  $\omega_{\varrho}$  and  $\omega_{\lambda}$  independently for each  $SU_3$  multiplet and each value of orbital angular momentum. The oscillator frequencies so obtained vary from state to state and over a considerable range, in contrast to the fixed values for  $\omega_{\varrho}$  and  $\omega_{\lambda}$  in the Isgur-Karl approach. Configuration mixing due to the confinement potential is minimal in this method. More recently C. S. Kalman and B. Tran have used the variational approach to study the whole baryon sector including all flavors [31].

Many authors have investigated various properties of the non-relativistic constituent quark model. In a study restricted to the spin independent part of the 3-quark hamiltonian B. Silvestre-Brac and C. Gignoux have compared calculations using a truncated harmonic oscillator basis (as in the Isgur-Karl model) with results deriving from a solution of the exact 3body Faddeev equations, they found very good agreement [32]. The non-relativistic kinematics of the model is an obvious deficiency which must be improved, at least by introducing relativistic correction terms. Capstick and Isgur [29] have carried out a very detailed investigation in the baryon sector, surprisingly they find only little change in the gross structure of the resonance energy spectrum. The difficulty in fitting with one parameter set simultaneously the positive parity and negative parity states, which we have mentioned in the previous sections, also exists in the "relativized" calculation, Capstick and Isgur too feel compelled to shift the N=1 negative parity states upward relative to the positive parity states by about 90 MeV. The quasi-relativistic kinematics lead to a deeper understanding of why the spin-orbit interaction plays a comparatively unimportant role. Looking at other details, the relativistic smearing of the contact interaction removes, in accordance with experiment, some degeneracies that could not be removed in the non-relativistic calculation (e.g. the degeneracy between the  $S_{31}$  state  $\Delta$  (1620) and the  $D_{33}$ state  $\Delta$  (1700)).

To improve on the energy of the  $[70, 1^-]_{N=1}$  multiplet relative to the positive parity states a number of remedies have been suggested. H. J. Weber and H. T. Williams propose to include a one-pion-exchange interaction between the constituent quarks [33]. Bhaduri and collaborators consider an anisotropic confinement potential leading to deformed baryon states

[34]. Sharma et al. [35] investigate by the variational method the orbit-orbit component of the Fermi-Breit interaction and find that it can be used instead of the anharmonic part *U* of the confinement potential, and that the positive parity states are lowered relative to the negative parity states.

#### 8. Concluding Remarks

In this paper we have extended the Isgur-Karl model to include the full set of N = 3 oscillator states in order to be able to describe a larger set of resonance states in the negative parity sector. Because of the inclusion of the N=3 states one has additional configuration mixing due to the anharmonic part of the confinement potential between the N=1 and the N=3 oscillator shells. We have tried to fit positive parity and negative parity states simultaneously with the same set of parameters. Existing difficulties have been discussed on the basis of a detailed comparison with the resonance parameters deduced from the major pion-nucleon phase shift analyses. Various proposals for the improvement of constituent quark models have been reviewed. It appears to us that the Isgur-Karl model remains an interesting and useful starting point for improved calculations. It will continue to give qualitative new insight into the physics of baryons, although we do not expect its development into a truely quantitative model.

Not only the Isgur-Karl model, but likewise all other constituent quark models that attempt to determine baryon resonance energies by means of a bound state calculation, with neglect of all couplings to the decay channels, are subject to serious objections. These have been most clearly formulated by Höhler (see [15, 36] and his article in the 1990 Review of Particle Properties, p. VIII.9 in [21]). On the one hand, by turning on the couplings to decay channels, bound state poles are displaced into the complex energy plane, and theory really should try to predict these complex pole positions. On the other hand, there is no unique way to extract resonance parameters from the experimental data, and "experimental" resonance positions do not simply coincide with the real parts of the complex poles. Constituent quark models of the type of the Isgur-Karl model avoid the complexity of a many-channel calculation at the expense of having to identify resonance energies with the pole positions in a bound state calculation. Therefore, at best a semiquantitative description of the baryon excited states can be expected. First attempts at dealing with the many-channel problem and calculating the complex pole positions have been made in the work by Blask, Huber, and Metsch [37], using a variational approach (see also [38]).

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